



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. 11738.00045)

PATENT #6

In the Application of:

Jerry Hartlaub

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Serial No. 10/001,357

Filed: October 31, 2001

TECHNOLOGY CENTER R3700

Group Art Unit: 3763

Examiner: not assigned

For: NON-CONFORMANCE MONITORING AND  
CONTROL TECHNIQUES FOR AN IMPLANTABLE  
MEDICAL DEVICE

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents  
Washington, DC 20231

Dear Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. 1.56. Copies of the references cited below are enclosed. The references are also listed on the enclosed and completed Form PTO/SB/08A.

**REFERENCES**

**U.S. Patent Documents**

1. U.S. Patent No. 4,731,051, issued March 15, 1988;
2. U.S. Patent No. 4,810,243, issued March 7, 1989; and
3. U.S. Patent No. 4,871,351, issued October 3, 1989.

**Foreign Patent Documents**

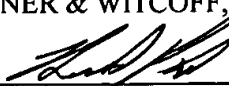
1. German Publication No. DE 198 40 965, published March 9, 2000;
2. International Publication No. WO 00/72181, published November 30, 2000; and
3. International Publication No. WO 01/52935, published July 26, 2001.

**Other Prior Art**

1. PCT International Search Report (8 pages).

Respectfully submitted,  
BANNER & WITCOFF, LTD

Dated: November 8, 2002

By:   
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